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NOTICE OF ALLOWANCE AND FEE(S) DUE

72960 7590 03/31/2011 Casimir Jones, S.C. 2275 DEMING WAY, SUITE 310 MIDDLETON, WI 53562 EXAMINER

CHOI, FRANK I

ART UNIT PAPER NUMBER

1616

DATE MAILED: 03/31/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/990,611	11/21/2001	Lorraine Faxon Meisner	BDERM-31657/US-4/CON	4194

TITLE OF INVENTION: ASCORBIC ACID COMPOSITION AND METHOD FOR TREATMENT OF AGING OR DAMAGED SKIN

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	06/30/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

appropriate. All further indicated unless correcte maintenance fee notificat	ed below or directed oth	or transmitting the 1880 of the Patent, advance of the Patent, advance of the Patent I, by (a	rders and notification of material and specifying a new corresponding and specifying a new corresponding to the co	pondence address; and	be mailed to the current for (b) indicating a separate	correspondence address as arate "FEE ADDRESS" for
CURRENT CORRESPONDE	ENCE ADDRESS (Note: Use Bl	ock 1 for any change of address)	Fee(s	s) Transmittal. This cer rs. Each additional par	tificate cannot be used f	or domestic mailings of the or any other accompanying ont or formal drawing, must
Casimir Jones, 2275 DEMING V MIDDLETON, V	WAY, SUITE 310	/2011	I her State addr trans	oby cortify that this Ea	ate of Mailing or Trans et(s) Transmittal is being sufficient postage for firs p ISSUE FEE address 571) 273-2885, on the da	mission g deposited with the United st class mail in an envelope above, or being facsimile tte indicated below.
						(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	AT	FORNEY DOCKET NO.	CONFIRMATION NO.
09/990,611	11/21/2001		Lorraine Faxon Meisner	BDF	ERM-31657/US-4/CON	4194
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	THOD FOR TREATMENT PUBLICATION FEE DUE	OF AGING OR DAM		DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	06/30/2011
		, T		ΨΟ	\$1033	00/30/2011
EXAM		ART UNIT	CLASS-SUBCLASS			
CHOI, Fl		1616	424-642000			
"Fee Address" indi PTO/SB/47; Rev 03-0 Number is required. 3. ASSIGNEE NAME AL PLEASE NOTE: Unl	ess an assignee is ident n in 37 CFR 3.11. Comp	" Indication form ed. Use of a Customer A TO BE PRINTED ON 7 ified below, no assignee	(1) the names of up to or agents OR, alternativ (2) the name of a single registered attorney or a 2 registered patent attor listed, no name will be part of the PATENT (print or type data will appear on the part a substitute for filing an a (B) RESIDENCE: (CITY)	ely, firm (having as a mergent) and the names of neys or agents. If no norinted. e) tent. If an assignee is ssignment.	mber a 2	ocument has been filed for
Please check the appropri	ate assignee category or	categories (will not be pr		•	1 0	oup entity Government
4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			 b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). 			
a. Applicant claims	t us (from status indicated s SMALL ENTITY statu	is. See 37 CFR 1.27.	☐ b. Applicant is no long	er claiming SMALL E	NTITY status. See 37 C	FR 1.27(g)(2).
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if requeecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than the Office.	e applicant; a registere	d attorney or agent; or th	ne assignee or other party in
Authorized Signature				Date		
Typed or printed name			-			
This collection of information application. Confident submitting the completed this form and/or suggesti	ation is required by 37 C iality is governed by 35 I application form to the ons for reducing this but	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to th	on is required to obtain or re 1.14. This collection is estive depending upon the indivi- de Chief Information Office.	etain a benefit by the pumated to take 12 minudual case. Any commer, U.S. Patent and Trad	ublic which is to file (and tes to complete, includir ents on the amount of tir lemark Office, U.S. Dep	I by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O.

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09/990,611	11/21/2001	Lorraine Faxon Meisner	BDERM-31657/US-4/CON	4194	
72960 75	90 03/31/2011		EXAM	INER	
Casimir Jones, S.		CHOI, FRANK I			
2275 DEMING WAY, SUITE 310					
MIDDLETON, WI	53562		ART UNIT	PAPER NUMBER	
			1616		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 104 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 104 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)			
	09/990,611	MEISNER, LORRAINE FAXON			
Notice of Allowability	Examiner	Art Unit			
	FRANK CHOI	1616			
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to and MPEP 1308.	olication. If not included will be mailed in due course. THIS			
1. This communication is responsive to <u>RCE/Amendment (1/</u>	<u>5/2011)</u> .				
2. X The allowed claim(s) is/are <u>1, 3-8, 10-12, 15-17, 21, 24, 25</u>	i, 36, 37 renumbered as claims 1-18				
 3. ☐ Acknowledgment is made of a claim for foreign priority ungrown a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 					
2. Certified copies of the priority documents have	been received in Application No	·			
3. Copies of the certified copies of the priority doc	cuments have been received in this	national stage application from the			
International Bureau (PCT Rule 17.2(a)).					
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements			
 A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give 					
5. CORRECTED DRAWINGS (as "replacement sheets") mus	t be submitted.				
(a) \square including changes required by the Notice of Draftspers	on's Patent Drawing Review (PTO-	948) attached			
1) ☐ hereto or 2) ☐ to Paper No./Mail Date					
(b) including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the C	office action of			
Identifying indicia such as the application number (see 37 CFR 1, each sheet. Replacement sheet(s) should be labeled as such in the					
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.					
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☐ Notice of Informal P	atent Application			
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary	• •			
3. ☐ Information Disclosure Statements (PTO/SB/08),	Paper No./Mail Dat 7. ⊠ Examiner's Amendr	e nent/Comment			
Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit	_	ent of Reasons for Allowance			
of Biological Material	9. ☐ Other				
/John Pak/					
Primary Examiner, Art Unit 1616					

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with David Casimir on 3/16/2011.

The application has been amended as follows:

Claim 17, line 1: delete "claim 15" and insert in place thereof the following:

-- claim 16 --.

REASONS FOR ALLOWANCE

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 1/5/2011 has been entered.

The following is an examiner's statement of reasons for allowance: In consideration of the Examiner's Amendment above and amendment (1/5/2011), and in reconsideration of the prior office action and responses thereto, the claimed invention is not expressly disclosed or fairly suggested by the prior art. The prior art does not disclose or make obvious a topical composition comprising ascorbic acid, zinc salt and water having a pH of about 3.5 to about 4.1 where the composition is prepared by a process dissolving about 10% to about 50% of the

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ascorbic acid in water at a temperature of between about 60 degrees Celsius to about 90 degrees Celsius to provide an aqueous ascorbic acid solution of at least 20% (w/v), cooling the aqueous ascorbic acid to below about 40 degrees Celsius, combining the aqueous ascorbic acid solution with water, zinc salt, and ascorbic acid to provide a mixture of water, zinc salt and about 5% to about 25% (w/v) and adjusting the pH of the mixture to about 3.5 to about 4.1. Further, the process of preparing the ascorbic acid results in a composition that exhibits unexpected stability. See Declaration (10/7/2009), pages 2-6; Specification, page 4, lines 1-10, Page 7, lines 17-33, Page 8, lines 1,2.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

A facsimile center has been established in Technology Center 1600. The hours of operation are Monday through Friday, 8:45 AM to 4:45 PM. The telecopier number for accessing the facsimile machine is 571-273-8300.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Frank Choi whose telephone number is (571)272-0610. The Examiner maintains a flexible schedule, however, the Examiner may generally be reached Monday, Tuesday, Wednesday and Thursday, 6:00 am – 4:30 pm (EST).

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If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's Supervisor, Johann R. Richter, can be reached at (571)272-0646. Additionally, Technology Center 1600's Receptionist and Customer Service can be reached at (571) 272-1600.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Frank Choi Patent Examiner Technology Center 1600 March 30, 2011

/John Pak/ Primary Examiner, Art Unit 1616